

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(d))

Docket No.
14952

In Re Application of Takeshi Azami, et al.

Serial No.
09/937,272

Filing Date
September 24, 2001

Examiner
Matthew J. Song

Group Art Unit
1765

Title: **METHOD FOR CONTROLLING MELT AND METHOD FOR GROWING CRYSTAL**

Address to:
Commissioner for Patents

The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(d), and on or before payment of the issue fee, and is accompanied by the Statement as specified in 37 CFR 1.97(e) and the fee set forth in 37 CFR 1.17(p).

☒ A check in the amount of \$180.00 is attached.

☐ Charge Deposit Account No.

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Paul J. Esatto, Jr.

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Signature

Dated: August 26, 2003

Paul J. Esatto, Jr.
Reg. No. 30,749

Correspondence Address
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:	Takeshi AZAMI, et al.	Examiner:	Matthew J. Song
Serial No.:	09/937,272	Art Unit:	1765
Filed:	September 24, 2001	Docket:	14952
For:	METHOD FOR CONTROLLING MELT AND METHOD FOR GROWING CRYSTAL		Dated: August 26, 2003

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TC 1700

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. European Patent Publication EP 0 625 595 A2 dated March 18, 1994.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box 1450, Alexandria, VA 22313-1450 on August 26, 2003.

Dated: August 26, 2003



Paul J. Esatto, Jr.

The reference was cited in an Office Action received from the German Patent Office. Applicants are submitting a copy of the above-cited reference, together with a copy of the Office Action and an English translation thereof. Reference no. 2 cited in the Office Action was previously submitted in an Information Disclosure Statement dated May 10, 2002.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of the Office Action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. §1.97(d), the \$180.00 fee and statement are enclosed.

Respectfully submitted,



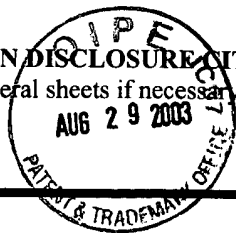
Paul J. Esatto, Jr.
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Scully, Scott, Murphy & Presser
400 Garden City Plaza
Garden City, New York 11530
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INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Docket Number (Optional)
14952

Application Number
09/937,272

Applicant(s)

Takeshi Azami, et al

Filing Date

9/24/01

Group Art Unit

1765

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

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FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		0 625 595 A2	18/3/94	EPO			X	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Takeshi AZAMI, et al. **Examiner:** Matthew J. Song
Serial No.: 09/937,272 **Art Unit:** 1765
Filed: September 24, 2001 **Docket:** 14952
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Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

STATEMENT PURSUANT
TO 37 C.F.R. § 1.97(c)(1) and (e)(1)

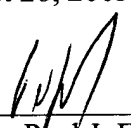
Sir:

I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. Applicant also submits the requisite \$180.00 official fee pursuant to § 1.17(p) by means of the enclosed check.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

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Dated: August 26, 2003



Paul J. Esatto, Jr.

Accordingly, it is respectfully requested that the accompanying Information Disclosure Statement be considered with respect to the above-identified application.

Respectfully submitted,

A handwritten signature in black ink, appearing to be 'PJE', is written above the printed name.

Paul J. Esatto, Jr.
Registration No. 30,749

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